

| General information about company | |
|--|----------------------------------|
| Scip code | 512455 |
| NSE Symbol | |
| MSEI Symbol | LLOYDSME |
| ISIN | INE281B01032 |
| Name of the company | Lloyds Metals and Energy Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Declaration | | | | | |
|-------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | Yes | No | Yes | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | Yes | Yes | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|-------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg: Y | Total | |
| (A) | Promoter & Promoter Group | 14 | 141912177 | | | 141912177 | 63.02 | 141912177 | 141912177 | 63.02 | |
| (B) | Public | 12078 | 82896223 | | | 82896223 | 36.81 | 82896223 | 82896223 | 36.81 | |
| (C) | Non Promoter-Non Public | 1 | 370000 | | | 370000 | | 370000 | 370000 | 0.16 | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | 1 | 370000 | | | 370000 | 0.16 | 370000 | 370000 | 0.16 | |
| | Total | 12093 | 225178400 | | | 225178400 | 100 | 225178400 | 225178400 | 100 | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | 24000000 | 24000000 | 60.15 | 42673529 | 30.07 | | | 141912177 |
| (B) | Public | 26650000 | | 26650000 | 39.72 | | | | | 78521563 |
| (C) | Non Promoter-Non Public | | | | | | | | | 370000 |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | 0.13 | | | | | 370000 |
| | Total | 26650000 | 24000000 | 50650000 | 100 | 42673529 | 18.95 | | | 220803740 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|-------------|-------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg: y | Total | |
| A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
| (1) Indian | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 10 | 23721720 | | | 23721720 | 10.53 | 23721720 | 23721720 | 10.53 | |
| (d) | Any Other (specify) | 4 | 118190457 | | | 118190457 | 52.49 | 118190457 | 118190457 | 52.49 | |
| Sub-Total (A) (1) | | 14 | 141912177 | | | 141912177 | 63.02 | 141912177 | 141912177 | 63.02 | |
| (2) Foreign | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) | | 14 | 141912177 | | | 141912177 | 63.02 | 141912177 | 141912177 | 63.02 | |
| B Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | | |
| (1) Institutions | | | | | | | | | | | |
| (a) | Mutual Funds | 1 | 2000 | | | 2000 | 0 | 2000 | 2000 | 0 | |
| (f) | Financial Institutions/Banks | 3 | 13879 | | | 13879 | 0.01 | 13879 | 13879 | 0.01 | |
| Sub-Total (B) (1) | | 4 | 15879 | | | 15879 | 0.01 | 15879 | 15879 | 0.01 | |
| (3) Non-institutions | | | | | | | | | | | |
| (a)(i) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 11393 | 22575911 | | | 22575911 | 10.03 | 22575911 | 22575911 | 10.03 | |
| (a)(ii) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 32 | 35860891 | | | 35860891 | 15.93 | 35860891 | 35860891 | 15.93 | |
| (e) | Any Other (specify) | 649 | 24443542 | | | 24443542 | 10.86 | 24443542 | 24443542 | 10.86 | |
| Sub-Total (B) (3) | | 12074 | 82880344 | | | 82880344 | 36.81 | 82880344 | 82880344 | 36.81 | |
| Total Public Shareholding (B) = (B)(1) + (B)(2) + (B)(3) | | 12078 | 82896223 | | | 82896223 | 36.81 | 82896223 | 82896223 | 36.81 | |
| C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | 1 | 370000 | | | 370000 | 0.16 | 370000 | 370000 | 0.16 | |
| Total NonPromoter- Non Public Shareholding (C) = (C)(1) + (C)(2) | | 1 | 370000 | | | 370000 | | 370000 | 370000 | 0.16 | |
| Total (A+B+C2) | | 12093 | 225178400 | | | 225178400 | 100 | 225178400 | 225178400 | 100 | |
| Total (A+B+C) | | 12093 | 225178400 | | | 225178400 | 100 | 225178400 | 225178400 | 100 | |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | 12000000 | 12000000 | 12.95 | 18932000 | 79.81 | | | 23721720 |
| (d) | | 12000000 | 12000000 | 47.2 | 23741529 | 20.09 | | | 118190457 |
| Sub-Total (A) (1) | | 24000000 | 24000000 | 60.15 | 42673529 | 30.07 | | | 141912177 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 24000000 | 24000000 | 60.15 | 42673529 | 30.07 | | | 141912177 |
| B Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | 0 | | 0 | 0 | | | | | 2000 |
| (f) | 0 | | 0 | 0.01 | | | | | 11879 |
| Sub-Total (B) (1) | 0 | | 0 | 0.01 | | | | | 13879 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | 0 | | 0 | 8.18 | | | | | 18912251 |
| (a(ii)) | 0 | | 0 | 13 | | | | | 35860891 |
| (e) | 26650000 | | 26650000 | 18.52 | | | | | 23734542 |
| Sub-Total (B) (3) | 26650000 | | 26650000 | 39.71 | | | | | 78507684 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | 26650000 | | 26650000 | 39.72 | | | | | 78521563 |
| C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | |
| (2) | | | | 0.13 | | | | | 370000 |
| Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2) | | | | | | | | | 370000 |
| Total (A+B+C2) | 26650000 | 24000000 | 50650000 | 100 | 42673529 | 18.95 | | | 220803740 |
| Total (A+B+C) | 26650000 | 24000000 | 50650000 | 100 | 42673529 | 18.95 | | | 220803740 |

| Individuals/Hindu undivided Family | | | | | | | |
|--|--------------|----------------|--------------|--------------|---------------------|---------------------|----------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | RAVI AGARWAL | Mukesh R Gupta | Renu R Gupta | Abha M Gupta | Chitralekha R Gupta | Dipti Akhil Mundhra | Rajesh R Gupta |
| PAN (II) | AAAPA6042J | AADPG1001A | AATPG7279C | AEFPG2751R | AEFPG2752N | AJCPG2187M | AKKPG7456N |
| No. of fully paid up equity shares held (IV) | 7730000 | 707300 | 1204420 | 669540 | 516740 | 500000 | 691720 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 7730000 | 707300 | 1204420 | 669540 | 516740 | 500000 | 691720 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.43 | 0.31 | 0.53 | 0.3 | 0.23 | 0.22 | 0.31 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 7730000 | 707300 | 1204420 | 669540 | 516740 | 500000 | 691720 |
| Class eg:y | | | | | | | |
| Total | 7730000 | 707300 | 1204420 | 669540 | 516740 | 500000 | 691720 |
| Total as a % of Total Voting rights | 3.43 | 0.31 | 0.53 | 0.3 | 0.23 | 0.22 | 0.31 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | 4000000 | 0 | 0 | 0 | 0 | 0 | 0 |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 4000000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 4.25 | 0.26 | 0.44 | 0.24 | 0.19 | 0.18 | 0.25 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | 7730000 | 0 | 0 | 0 | 0 | 0 | 0 |
| As a % of total Shares held (b) | 100 | 0 | 0 | 0 | 0 | 0 | 0 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 7730000 | 707300 | 1204420 | 669540 | 516740 | 500000 | 691720 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Shareholder type | Promoter | Promoter | Promoter | Promoter | Promoter | Promoter | Promoter |

| Individuals/Hindu undivided Family | | | | |
|--|---------------------|-----------------------|----------------------|-----------------------|
| Serial No. | 8 | 9 | 10 | |
| Name of the Shareholders (I) | MADHUR RAJESH GUPTA | Priyanka Rajesh Gupta | Shreekrishna M Gupta | Click here to go back |
| PAN (II) | AKOPG3296Q | AKOPG3403H | AKPPG3031Q | Total |
| No. of fully paid up equity shares held (IV) | 5600000 | 500000 | 5602000 | 23721720 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+(VI) | 5600000 | 500000 | 5602000 | 23721720 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.49 | 0.22 | 2.49 | 10.53 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg:X | 5600000 | 500000 | 5602000 | 23721720 |
| Class eg:y | | | | |
| Total | 5600000 | 500000 | 5602000 | 23721720 |
| Total as a % of Total Voting rights | 2.49 | 0.22 | 2.49 | 10.53 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | 4000000 | 0 | 4000000 | 12000000 |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 4000000 | 0 | 4000000 | 12000000 |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 3.48 | 0.18 | 3.48 | 12.95 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | 5600000 | 0 | 5602000 | 18932000 |
| As a % of total Shares held (b) | 100 | 0 | 100 | 79.81 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 5600000 | 500000 | 5602000 | 23721720 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter | Promoter | Promoter | |

| Any Other (specify) | | | | | |
|--|---------------------------|--------------------------|---|--------------------------------------|-----------------------|
| Serial No. | 1 | 2 | 3 | 4 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | Shree Global Tradefin Ltd | ASP Technologies Pvt Ltd | Triumph Trade & Properties Developers Private Limited | Lloyds Metals & Minerals Trading LLP | |
| PAN (II) | AAACB2975J | AAACS7392G | AAACT7611H | AAFFL9462L | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 4 |
| No. of fully paid up equity shares held (IV) | 28890380 | 36400340 | 29158208 | 23741529 | 118190457 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 28890380 | 36400340 | 29158208 | 23741529 | 118190457 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 12.83 | 16.17 | 12.95 | 10.54 | 52.49 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 28890380 | 36400340 | 29158208 | 23741529 | 118190457 |
| Class eg:y | | | | | |
| Total | 28890380 | 36400340 | 29158208 | 23741529 | 118190457 |
| Total as a % of Total Voting rights | 12.83 | 16.17 | 12.95 | 10.54 | 52.49 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | 0 | 0 | 0 | 12000000 | 12000000 |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 0 | 0 | 0 | 12000000 | 12000000 |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 10.47 | 13.2 | 10.57 | 12.96 | 47.2 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | 0 | 0 | 0 | 23741529 | 23741529 |
| As a % of total Shares held (b) | 0 | 0 | 0 | 100 | 20.09 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 28890380 | 36400340 | 29158208 | 23741529 | 118190457 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |
| Shareholder type | Promoter | Promoter | Promoter | Promoter | |

| Any Other (specify) | | | | | | | |
|--|------------------|--|----------|--|------------------|----------|---------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Bodies Corporate | Bodies Corporate | LLP | LLP | Clearing Members | HUF | Non-Resident Indian (NRI) |
| Category / More than 1 percentage | Category | More than 1 percentage of shareholding | Category | More than 1 percentage of shareholding | Category | Category | Category |
| Name of the Shareholders (I) | | HALAN PROPERTIES PRIVATE LIMITED. | | NIYAMIT MERCANTILE AND TRADING LLP | | | |
| PAN (II) | | AAACH3909A | | AAIFN3633Q | | | |
| No. of the Shareholders (I) | 91 | 1 | 1 | 1 | 46 | 211 | 298 |
| No. of fully paid up equity shares held (IV) | 13042752 | 8023000 | 3190000 | 3190000 | 310811 | 3808181 | 1166448 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 13042752 | 8023000 | 3190000 | 3190000 | 310811 | 3808181 | 1166448 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 5.79 | 3.56 | 1.42 | 1.42 | 0.14 | 1.69 | 0.52 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 13042752 | 8023000 | 3190000 | 3190000 | 310811 | 3808181 | 1166448 |
| Class eg:y | | | | | | | |
| Total | 13042752 | 8023000 | 3190000 | 3190000 | 310811 | 3808181 | 1166448 |
| Total as a % of Total Voting rights | 5.79 | 3.56 | 1.42 | 1.42 | 0.14 | 1.69 | 0.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.73 | 2.91 | 1.16 | 1.16 | 0.11 | 1.38 | 0.42 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 12747752 | 8023000 | 3190000 | 3190000 | 310811 | 3808181 | 753448 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | | | |
|--|----------|---|---|--|---------------------------------------|
| Serial No. | 8 | 9 | 10 | 11 | |
| Category | Trusts | Unclaimed or Suspense or Escrow Account | Unclaimed or Suspense or Escrow Account | Bodies Corporate | |
| Category / More than 1 percentage | Category | Category | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | | | Lloyds Metals And Energy Limited Unclaimed Suspense Account | Clover Media Private Limited | Click here to go back |
| PAN (II) | | | AAACL0830E | AACCD9495R | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 649 |
| No. of fully paid up equity shares held (IV) | 1000 | 2924350 | 2924350 | 0 | 24443542 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1000 | 2924350 | 2924350 | 0 | 24443542 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 1.3 | 1.3 | 0 | 10.86 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 1000 | 2924350 | 2924350 | 0 | 24443542 |
| Class eg:y | | | | | |
| Total | 1000 | 2924350 | 2924350 | 0 | 24443542 |
| Total as a % of Total Voting rights | 0 | 1.3 | 1.3 | 0 | 10.86 |
| No. Of Shares Underlying Outstanding convertible securities (X) | 0 | 0 | 0 | 26650000 | 0 |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 0 | 0 | 0 | 26650000 | 0 |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0 | 1.06 | 1.06 | 9.66 | 8.86 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 2924350 | 2924350 | 0 | 23734542 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |

| significant beneficial owners | | | | | | | | | | | | | | | | |
|-------------------------------|--------------------|------------|--|-------------|---|--------------------------------------|------------|--|-------------|---|---|---------------|--|---------------------|-----------------------------------|---|
| Sr. No. | Details of the SBO | | | | | Details of the registered owner | | | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | | Date of creation / acquisition of significant beneficial interest |
| | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | | | | | |
| | | | | | | | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | |
| 1 | Ravi Agarwal | AAAPA6042J | | India | | LLOYDS METALS & MINERALS TRADING LLP | AAFFL9462L | | India | | 6.94 | | | No | No | 08-02-2018 |
| Total: | | | | | | | | | | | 6.94 | 0 | 0 | | | |
| 2 | Madhur Gupta | AKOPG3296Q | | India | | LLOYDS METALS & MINERALS TRADING LLP | AAFFL9462L | | India | | 6 | | | No | No | 17-03-2018 |
| Total: | | | | | | | | | | | 6 | 0 | 0 | | | |
| 3 | Shreekrishna Gupta | AKPPG3031Q | | India | | LLOYDS METALS & MINERALS TRADING LLP | AAFFL9462L | | India | | 6 | | | No | No | 08-02-2018 |
| Total: | | | | | | | | | | | 6 | 0 | 0 | | | |